

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 596703850 US, on the date shown below in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: August 10, 2006

Signature:

*Rosemarie Pulic-Salmeron*  
(Rosemarie Pulic-Salmeron)

COPY

Docket No.: 420052000126  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:  
Neil P. DESAI et al.

Application No.: 09/446,783

Confirmation No.: 2878

Filed: June 26, 1998 (Intl.)

Art Unit: 1618

For: NOVEL FORMULATIONS OF  
PHARMACOLOGICAL AGENTS, METHODS  
FOR THE PREPARATION THEREOF AND  
METHODS FOR THE USE THEREOF

Examiner: M. Hartley

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt should be corrected as follows:

Under Continuing Data as Claimed by Applicant, please delete "Which Claims Benefit of 08/926,155 09/09/1997 PAT 6,096,331" and insert the following:

--And is a Continuation-in-Part of 08/926,155 09/09/1997 PAT 6,096,331

And is a Continuation-in-Part of 08/720,756 10/01/1996

Which is a Continuation-in-Part of 08/412,726 03/29/1995

Which is a Divisional of 08/023,698 02/22/1993--

A marked-up copy of the Filing Receipt is enclosed herewith. Support for this request can be found in the Supplemental Application Data Sheet as filed herewith. Applicant additionally

requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Dated: August 10, 2006

Respectfully submitted,

By 

Alicia J. Hager

Registration No.: 44,140

MORRISON & FOERSTER LLP

755 Page Mill Road

Palo Alto, California 94304-1018

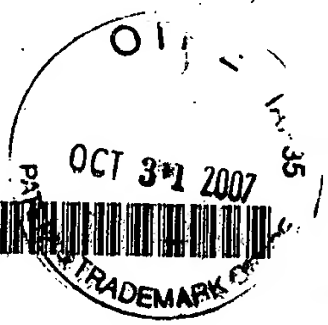
(650) 813-4296

COPY

FILING RECEIPT



\*OC000000005291159\*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/446,783	05/16/2000	1614	2780	VPHAR1460-2	4	65	18

STEPHEN E REITER  
GRAY CARY WARE & FREIDENRICH  
4365 EXECUTIVE DRIVE  
SUITE 1600  
SAN DIEGO, CA 92121

102979-159179

RECEIVED

AUG 08 2000

Date Mailed: 08/02/2000

GRAYCARY/GT PATENT

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

NEIL P. DESAI, LOS ANGELES, CA ;  
PATRICK SOON-SHIONG, LOS ANGELES, CA ;  
SHLOMO MAGDASSI, JERUSALEM, ISRAEL ;  
DAVID C. SAHADEVAN, WHITTIER, CA ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/US98/13272 06/26/1998  
WHICH CLAIMS BENEFIT OF 60/051,021 06/27/1997  
~~WHICH CLAIMS BENEFIT OF 08/926,155 09/09/1997 PAT 6,096,334~~

Foreign Applications

AND IS A CONTINUATION-IN-PART OF 08/926,155 09/09/1997 PAT 6,096,334  
AND IS A CONTINUATION-IN-PART OF 08/120,756 10/01/1996

If Required, Foreign Filing License Granted 08/02/2000

WHICH IS A CONTINUATION-IN-PART OF 08/412,726 03/29/1995  
WHICH IS A DIVISIONAL OF 08/023,698  
02/22/1993

Title

NOVEL FORMULATIONS OF PHARMACOLOGICAL AGENTS, METHODS FOR THE  
PREPARATION THEREOF AND METHODS FOR THE USE THEREOF

Preliminary Class

514



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

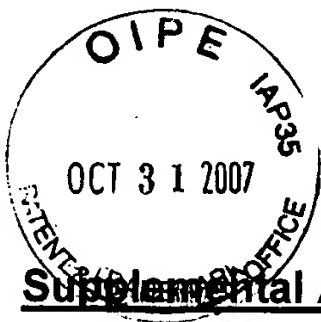
**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:



COPY

**Supplemental Application Data Sheet**

**Application Information**

Application number:: 09/446,783  
Filing Date:: ~~05/16/2000~~ 06/26/1998 (Intl.)  
Application Type:: Regular  
Subject Matter:: Utility  
Suggested Group Art Unit:: ~~4616~~ 1618  
CD-ROM or CD-R?: None  
Sequence submission?: None  
Computer Readable Form (CRF)?:: No  
Title:: NOVEL FORMULATIONS OF  
PHARMACOLOGICAL AGENTS, METHODS  
FOR THE PREPARATION THEREOF AND  
METHODS FOR THE USE THEREOF  
Attorney Docket Number:: ~~ABI1460-2 (071243-1301)~~ 420052000126  
Request for Early Publication?: No  
Request for Non-Publication?: No  
Suggested Drawing Figure:: 1  
Total Drawing Sheets:: 4  
Small Entity?: No  
Petition included?: No  
Petition Type:  
Secrecy Order in Parent Appl.?: No

**Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: ~~India~~ US  
Status:: Full Capacity  
Given Name:: Neil R.

Supplemental; 09/446,783; 06/26/1998 (Intl.); 08/10/2006

pa-1086508

Middle Name:: P.  
Family Name:: DESAI  
City of Residence:: ~~Los Angeles~~ Santa Monica  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of mailing address:: ~~3633 Purdue Avenue~~ 2730 Wilshire Boulevard,  
Suite 500  
City of mailing address:: ~~Los Angeles~~ Santa Monica  
State or Province of mailing address:: CA  
Postal or Zip Code of mailing address:: ~~90066~~ 90403

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Patrick  
Family Name:: SOON-SHIONG  
City of Residence:: ~~Santa Monica~~ Los Angeles  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of mailing address:: ~~41718~~ 149 South Barrington Court Ave., #311  
City of mailing address:: Los Angeles  
State or Province of mailing address:: CA  
Postal or Zip Code of mailing address:: 90049

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Israel  
Status:: Full Capacity  
Given Name:: Shlomo  
Family Name:: MAGDASSI

City of Residence:: Jerusalem  
Country of Residence:: Israel  
Street of mailing address:: Hanerd Street 36  
City of mailing address:: Jerusalem  
Country of mailing address:: Israel

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: David G.

Middle Name:: C.  
Family Name:: SAHADEVAN  
City of Residence:: Whittier  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of mailing address:: 13626 Franklin Street #2  
City of mailing address:: Whittier  
State or Province of mailing address:: CA  
Postal or Zip Code of mailing address:: 90602

### **Correspondence Information**

Correspondence Customer Number:: 30542 25226  
~~E-Mail address::~~ PTOMailSanDiegoNorth@Foley.com

### **Representative Information**

Representative Customer Number:: 30542 25226

### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	National Stage of	PCT/US98/13272	06/26/98
<del>This Application</del> <u>PCT/US98/13272</u>	<del>Continuation-in-part</del> of <u>An application</u> <u>claiming the benefit</u> <u>under 35 USC</u> <u>119(e)</u>	60/051,021	06/27/97
PCT/US98/13272	Continuation-in-part of	08/926,155	09/09/97
<u>PCT/US98/13272</u>	<u>Continuation-in-part</u> of	<u>08/720,756</u>	<u>10/01/96</u>
<u>08/720,756</u>	<u>Continuation-in-part</u> of	<u>08/412,726</u>	<u>03/29/95</u>
<u>08/412,726</u>	<u>Division of</u>	<u>08/023,698</u>	<u>02/22/93</u>

### Foreign Priority Information

### Assignee Information

Assignee name::

American BioScience, Inc.